Information Disclosure Statement U.S. Application No.: 10/705,992 Attorney Docket No. EI-7594

In Re Application of:
Lee D. Saathoff, et al.

Serial Number: 10/705,992

Filed: November 12, 2003

For: Compositions and Methods for Improved Friction Durability in Power Transmission Fluids

U.S. Application Attorney Do

TRANSMITTAL

Confirmation No.: 6538

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class U.S. Mail on April 22, 2004 with sufficient postage in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Beth Earnest

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

Transmitted herewith are the following in the subject application:

Information Disclosure Statement (no fee)
Form PTO/SB/08A listing 37 references and attaching 4 foreign references
Return Postcard (attached hereto, but not stapled)

It is believed that there is no fee associated with this filing, as the Information Disclosure Statement is being filed prior to the date of a first office action on the merits. However, in the event the calculations are incorrect, the Commissioner is hereby authorized to charge any deficiencies or credit any overpayment in the fees associated with this communication to Deposit Account No. 05-1372.

Respectfully submitted,

ETHYL PETROLEUM ADDITIVES, INC.

Leah O. Robinson, Reg. No. 44,990

330 South Fourth Street Richmond, VA 23219 Phone: 804-788-5516 Facsimile: 804-788-5519

Date: April 22, 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:)
In re Patent Application of: Lee D. SAATHOFF, et al.)
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Application No.: 10/705,992) Confirmation No.: 6538
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For: Compositions and Methods for)
Improved Friction durability in)
Power Transmission Fluids)
)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

Sir:

Pursuant to the duty of disclosure, Applicants submit herewith information which may be material to the examination of the above-captioned application.

Specifically, the information comprises references that have become known to Applicants and their attorneys. Those references are listed on the enclosed form PTO/SB/08A. Copies of the foreign patent documents are attached.

It is respectfully requested that these references be fully considered in their entirety, and that the references be made of record in the above-captioned application. It is also respectfully requested that the Examiner place his or her initials in the appropriate area on the enclosed Form PTO/SB/08A, thereby indicating the Examiner's consideration of each of the references.

Fees

It is believed that there is no fee associated with this filing, as the Information Disclosure Statement is being filed prior to the date of a first office action on the merits. However, in the event the calculations are incorrect, the Commissioner is hereby authorized to charge any deficiencies in the following fees associated with this communication or credit any overpayment to Deposit Account No. 05-1372.

Conclusion

It is believed that all provisions of 37 CFR 1.97 have been complied with, and consideration of these references is most respectfully requested.

Respectfully Submitted,

ETHYL PETROLEUM ADDITIVES, INC.

Leah O. Robinson, Reg. No. 44,990

330 South Fourth Street Richmond, VA 23219

(804) 788-5516

Date: April 22, 2004

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INFORMATION DISCLOSURE

Substitute for form 1449/PTO

Complete if Known

Application Number 10/705,992

Filing Date November 12, 2003

First Named Inventor Lee Saathoff, et al.

Art Unit Unassigned

Examiner Name Unassigned

Attorney Docket Number El-7594

(Use as many sheets as necessary)

	I 0:1			DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 3018247	01-23-1962	Anderson, et al.	
		^{US-} 3087936	04-30-1963	Le Suer, et al.	
•		^{US-} 3172892	03-09-1965	Le Suer, et al.	
		^{US-} 3184411	05-18-1965	Lowe	
		^{US-} 3184474	05-18-1965	Catto, et al.	
		^{US-} 3202678	08-04-1965	Stuart, et al.	
		^{US-} 3215707	11-02-1965	Rense	
		^{US-} 3219666	11-23-1965	Norman, et al.	
		^{US-} 3254025	05-31-1966	Le Suer	
		^{US-} 3272746	09-13-1966	Le Suer, et al.	
		^{US-} 3275554	09-27-1966	Wagenaar	
		^{US-} 3281428	10-25-1966	Le Suer	
		^{US-} 3282955	11-01-1966	Le Suer	
		US- 3338832	08-29-1967	Le Suer	
		us- 3344069	09-26-1967	Steube	
		us- 3368972	02-13-1968	Otto	
		^{US-} 3381022	04-30-1968	Le Suer	
		^{US-} 3399141	08-27-1968	Clemens	
		^{US-} 3454555	07-08-1969	Henricus, et al.	

Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Γ
iriidais	INO.	Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Cited Document	Or Relevant Figures Appear	Т
		GB 1085903	10-04-1967	Elliott et al.		
		GB 1162436	08-27-1969	Branch et al.		
		WO 96/17911	06-13-1996	Exxon Chemical		
		WO 96/17914	06-13-1996	Exxon Chemical		
						Γ

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

PTO/SB/08A (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449/PTO	Complete if Known		
	Application Number	10/705,992	
INFORMATION DISCLOSURE	Filing Date	November 12, 2003	
STATEMENT BY APPLICANT (Use as many sheets as necessary)	First Named Inventor	Lee Saathoff, et al.	
	Art Unit	Unassigned	
	Examiner Name	Unassigned	
heet 2 of 2	Attorney Docket Number	EI-7594	

Examiner	Cite	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initials*	No.1	Number-Kind Code ^{2 (# known)}	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
		^{US-} 3541012	11-17-1970	Steube, et al.	
		^{US-} 3565804	02-23-1971	Honnen, et al.	
		US- 3576743	04-27-1971	Widmer, et al.	
		^{US-} 3703536	11-21-1972	Piasek, et al.	
		^{US-} 3718663	02-27-1973	Piasek, et al.	
		^{US-} 3803039	04-09-1974	Piasek, et al.	
		^{US-} 4234435	11-18-1980	Meinhardt, et al.	
		^{US-} 4554086	11-19-1985	Karol, et al.	
		^{US-} 4857214	08-15-1989	Papay, et al.	
		^{US-} 5503758	04-02-1996	Wu, et al.	
		^{US-} 5612295	03-18-1997	Bell, et al.	
		^{US-} 5817605	10-06-1998	Papay	
		^{US-} 6482778	11-19-2002	Tersigni, et al.	
		^{US-} 4440656	04-03-1984	Horodysky	
		US-			

	FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages				
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶			

Examiner Signature	Date Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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